

# International Consensus on Allergen Immunotherapy II: Mechanisms, standardization, and pharmacoeconomics



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This article continues the comprehensive international consensus (ICON) statement on allergen immunotherapy (AIT). The initial article also recently appeared in the *Journal*. The conclusions below focus on key mechanisms of AIT-triggered tolerance, requirements in allergen standardization, AIT cost-effectiveness, and regulatory guidance. Potential barriers to and facilitators of the use of AIT are described in addition to future directions.

International allergy specialists representing the European Academy of Allergy and Clinical Immunology; the American Academy of Allergy, Asthma & Immunology; the American College of Allergy, Asthma and Immunology; and the World Allergy Organization critically reviewed the existing literature and prepared this summary of recommendations for best AIT practice. The authors contributed equally and reached consensus on the statements presented herein. (*J Allergy Clin Immunol* 2016;137:358-68.)

**Key words:** *International consensus, allergy, immunotherapy, allergen vaccine, allergen standardization, pharmacoeconomics, cost-effectiveness, mechanisms, tolerance, marketing authorization, regulatory authorities, unmet needs*

This article represents the second part of the international consensus (ICON) document on allergen immunotherapy (AIT), an effort of the International Collaboration in Asthma, Allergy and Immunology that includes the European Academy of Allergy and Clinical Immunology; the American Academy of Allergy, Asthma & Immunology; the American College of Allergy, Asthma and Immunology; and the World Allergy Organization. There are other articles that outline international or national guidelines, positions, or consensus statements on the current knowledge on AIT. In this document we offer a critical appraisal of major evidence on AIT mechanisms, recommendations on allergen standardization (AS), regulatory issues, pharmacoeconomics, and barriers to and facilitators of future developments in AIT. The governing boards of the participating organizations approved the final draft.

## Abbreviations used

AIT:	Allergen immunotherapy
AS:	Allergen standardization
BAU:	Bioequivalent allergen units
Breg:	Regulatory B
CUA:	Cost-utility analysis
D50:	Dilution of extract that on average produces a 50 mm erythema (sum of lengths and width)
DC:	Dendritic cell
EMA:	European Medicines Agency
EU:	European Union
FOXP3:	Forkhead box protein 3
ICER:	Incremental cost-effectiveness ratio
IHRP:	In-house reference preparation
ILC:	Innate lymphoid cell
ILC2:	Type 2 innate lymphoid cell
MA:	Marketing authorization
NPP:	Named-patient product
QALY:	Quality-adjusted life year
SCIT:	Subcutaneous immunotherapy
SLIT:	Sublingual immunotherapy
ST:	Standard treatment
TLR:	Toll-like receptor
Treg:	Regulatory T
VIT:	Venom immunotherapy

## MECHANISMS OF IMMUNOTHERAPY

The allergen-specific immune response involves a series of complex mechanisms. These include the structural features and dose of allergen, the route and timing of its exposure, the existence of innate immune response stimulants within the allergen and micromilieu, and the genetic susceptibility of the host.<sup>1,2</sup> Effective AIT sequentially activates multiple mechanisms (Fig 1), ideally resulting in multifaceted clinical improvement. Depending on the AIT protocol, desensitization to allergen, allergen-specific immune tolerance, and suppression of allergic inflammation appear within hours. This is followed by allergen-specific regulatory T (Treg) and regulatory B (Breg) cell generation, regulation of allergen-specific IgE and IgG<sub>4</sub>, and

establishment of immune tolerance (Fig 1, A). AIT in particular targets type II immune cells, including T<sub>H</sub>2 cells, type 2 innate lymphoid cells (ILC2), and type 2 cytotoxic T cells, which

produce IL-4, IL-5, and IL-13, which induce mast cell, basophil, and eosinophil activation, as well as IgE antibody production (Fig 1, B).<sup>3,4</sup>

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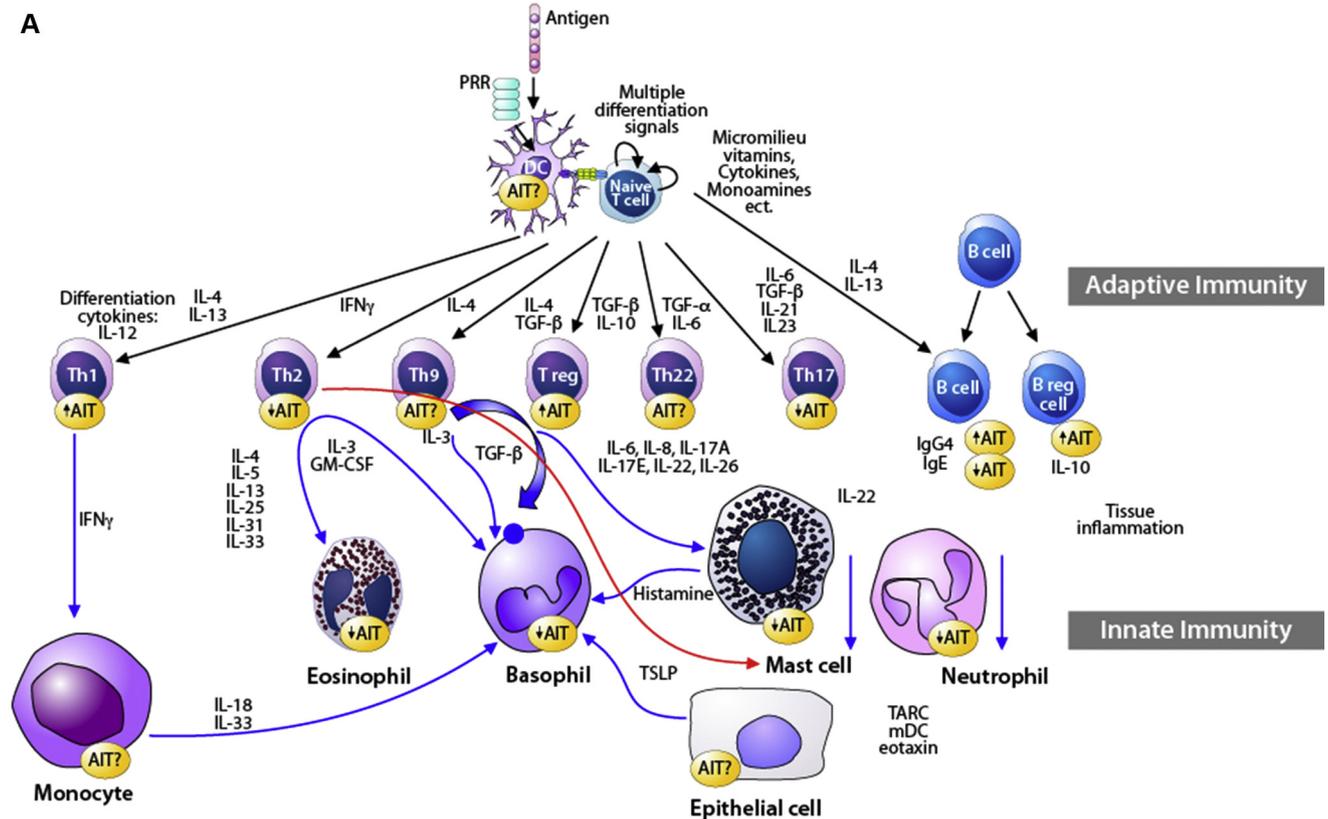
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**FIG 1.** Cellular and molecular changes during AIT. **A**, Differentiation of naive T cells after allergen presentation in the presence of innate immune response substances that trigger pattern recognition receptors (PRR) and vitamins, monoamines that control cellular differentiation, and coexposed substances with the antigen and status of the cells and cytokines in the microenvironment is shown. Naive T cells can differentiate into  $T_H1$ ,  $T_H2$ ,  $T_H9$ ,  $T_H17$ , and  $T_H22$  T cells. Based on their respective cytokine profiles, responses to chemokines, and interactions with other cells, these T-cell subsets can contribute to general inflammation. The increase in  $T_H1$  and Treg cell numbers plays a role in counterbalancing other effector cells. The balance between allergen-specific effector T cells (particularly  $T_H2$  cells) and IL-10–producing Treg cells is decisive for the development or suppression of allergic inflammation. Treg cells and their cytokines suppress  $T_H2$ -type immune responses and contribute to the control of allergic diseases in several major ways. Similarly, induction of IL-10–producing Breg cells plays an essential role in suppression of IgE and induction of IgG<sub>4</sub>. **B**, The suppression of peripheral ILCs, especially ILC2s, might contribute to  $T_H2$  suppression and immunologic tolerance induced by AIT. *iNKT*, Invariant natural killer T; *TSLP*, thymic stromal lymphopoietin.

## Early desensitization

The literature indicates that administration of AIT leads to very early decreases in the susceptibility of mast cells and basophils to degranulation in spite of the presence of increased allergen-specific IgE levels.<sup>5</sup> This effect appears to be similar to the one observed when these 2 immune cell types are rapidly desensitized in anaphylactic reactions to drugs.<sup>6</sup> Several mechanisms have been proposed to explain why mast cells and basophils become unresponsive to environmental proteins even in the presence of specific IgE. A number of studies have investigated the involvement of basophils in the very early induction of allergen tolerance and the so-called desensitization effect of venom immunotherapy (VIT).<sup>7–9</sup> Rapid upregulation of histamine type 2 receptors within the first 6 hours of the build-up phase of VIT was observed, which suppressed FcεRI-induced activation and mediator release of basophils,<sup>7</sup> and histamine receptor 2 has strong immune regulatory activities on T cells, dendritic cells (DCs), and basophils.<sup>10</sup> Overall, mast cells and basophils express many targets for future

enhancement of the efficacy of AIT, as well as the development of novel biomarkers.<sup>11,12</sup>

## T-cell tolerance

AIT induces a major change in allergen-specific T-cell subsets. The proportion of IL-4–secreting  $T_H2$  cells decreases; meanwhile, IL-10–secreting inducible Treg cells specific for the same allergenic epitope increase in number and achieve function similar to the immune status observed in nonallergic healthy subjects. This appears to be one of the milestones in the development of peripheral tolerance to allergens.<sup>1,13</sup> A significant correlation exists between improvement of symptoms and the increase in inducible Treg cell numbers during immunotherapy.<sup>14,15</sup> Inducible Treg cells are composed of 2 sets: forkhead box protein 3 (FOXP3)–adaptive Treg cells and FOXP3<sup>–</sup> but IL-10–producing type 1 regulatory cells.<sup>16</sup> Studies investigating the role of different types of Treg cells during AIT have shown overlapping effects of

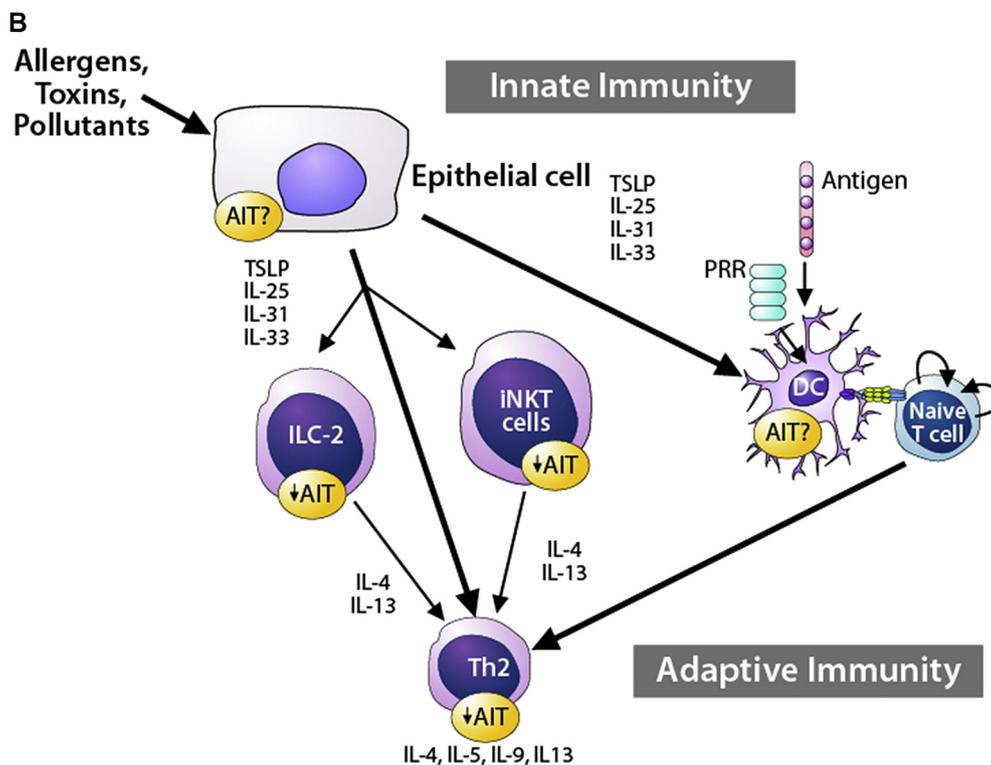


FIG 1. (Continued).

different Treg cell subsets for the induction of T-cell tolerance.<sup>17,18</sup> Secretion of IL-10 and TGF- $\beta$  and expression of cytotoxic T lymphocyte antigen 4 and programmed death 1 protein on T-cell surfaces are also important for the suppressor activity of inducible Treg cells. Additionally, the runt homology domain transcription factors 1 and 3 both have an effect on TGF- $\beta$ -mediated FOXP3 expression of inducible Treg cells in human subjects.

Various mechanisms can underlie AIT's induction of an allergen-specific Treg cell response.<sup>19,20</sup> It has been recently suggested that the target organ and site of immune tolerance induction during sublingual immunotherapy (SLIT) might be the tonsils.<sup>21</sup> This could hold true even in patients with tonsillectomy because the procedure removes only the pharyngeal tonsils while preserving the lingual and palatine tonsils. Plasmacytoid DCs with a high percentage of Treg cells were colocalized in human palatine and lingual tonsils. The ability of plasmacytoid DCs of human tonsil cells to generate CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>-</sup>FOXP3<sup>+</sup> functional Treg cells further supports the tolerogenic function of DCs.<sup>20</sup> Similar to mechanisms of AIT, in high-dose antigen exposure of beekeepers, IL-10-secreting Treg cells inhibited proliferation of phospholipase A-specific effector T cells 7 days after the beginning of the bee venom season.<sup>22</sup> Blocking cytotoxic T lymphocyte antigen 4, programmed death 1, and IL-10 receptors inhibited this suppressive effect. Mouse models to mimic these effects are being developed, and prolonged desensitization schedules have been proposed to study immune tolerance-inducing activities.<sup>23</sup>

Another important recent study investigated the mechanisms underlying the way in which allergen tolerance can be broken in healthy subjects. The authors indicate stimulation of allergen-specific T cells with certain Toll-like receptors (TLRs), and proinflammatory cytokines can induce *in vitro* CD4<sup>+</sup> T-cell

**Box 1.** Effective AIT triggers multiple mechanisms, which are sequentially activated (Fig 2)

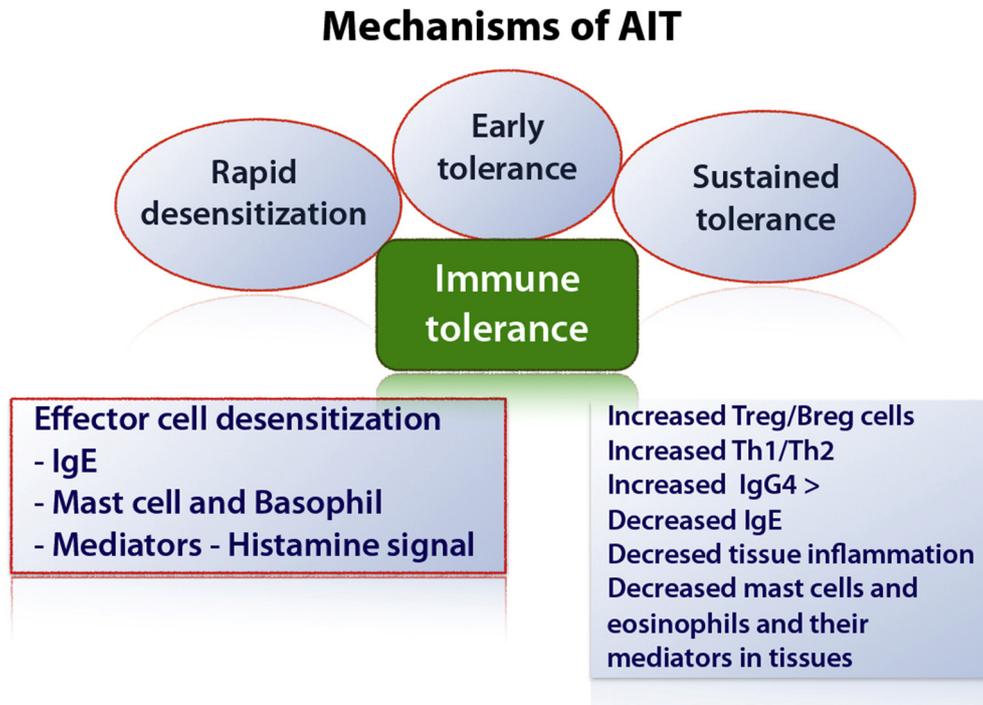
AIT-induced immune tolerance controls:

- the acute phase of the allergic reaction and
- chronic events leading to inflammation and remodeling.

proliferation in peripheral lymphocytes. In this context stimulation of myeloid DCs with IL-1 $\beta$ , IL-6, TLR4, and TLR8 breaks allergen-specific CD4<sup>+</sup> T-cell tolerance.<sup>24</sup> Viral infections might play a role in immune tolerance-breaking roles through the abovementioned or other molecular mechanisms. Infection of the respiratory epithelium with rhinovirus can antagonize tolerance to inhaled antigen through combined induction of thymic stromal lymphopoietin, IL-33, and OX40 ligand.<sup>25</sup>

### B-cell tolerance

The phenotypic expression of Breg cells plays a role in allergic disease. Distinct from IL-10-secreting DCs, IL-10-secreting allergen-specific Breg cells were shown to exist in bee venom-tolerant beekeepers and patients with bee venom allergy who had undergone VIT.<sup>26</sup> They were characterized as CD73<sup>-</sup>CD25<sup>+</sup>CD71<sup>+</sup> B cells, with a suppressive function on antigen-specific CD4<sup>+</sup> T cells and the capacity to produce specifically IgG<sub>4</sub>. This work is supported by data showing that IL-10 overexpression in human B cells is sufficient to induce a regulatory role of B cells.<sup>27</sup> In addition to the direct role of Breg cells, Treg cell-derived IL-10 stimulates B cells to undergo class-switching toward production of IgG antibodies in the presence of IL-4, whereas IL-4 alone induces IgE production.<sup>28</sup> Human B cells can regulate CD4<sup>+</sup> T-cell plasticity to create flexibility



**FIG 2.** Rapid desensitization. Very early decreases in the susceptibility of mast cells and basophils to degranulation are observed. Mediators of anaphylaxis (histamine and leukotrienes) are released during AIT without inducing a systemic anaphylactic response. Several mechanisms have been proposed, such as upregulation of histamine type 2 receptors and decreased effector cell function, as reflected by a decrease in allergen-stimulated surface expression of CD63. Early changes in basophil sensitivity predict symptom relief with AIT. Immune tolerance involves the gradual increase in Treg and Breg cell numbers and tolerogenic antibody levels. Long-term tolerance induced by AIT involves changes in the memory T- and B-cell compartment, the  $T_H1/T_H2$  shift, and the function of effector and structural cells.

in the effector T-cell response.<sup>29</sup> As a tolerogenic antibody, allergen-specific IgG<sub>4</sub> competes with allergen-specific IgE with the same specificity for allergen binding, thus preventing the release of mediators from mast cells and basophils. There is further possible formation of IgE-allergen-IgG<sub>4</sub> complexes that bind to both the FcγRIIb and FcεRI, inhibiting the IgE receptor.<sup>30</sup> IgG<sub>4</sub> antibodies of different specificities can exchange their immunoglobulin heavy chain through a process referred to as Fab arm exchange. This process leads to the formation of bisppecific, functionally monovalent IgG<sub>4</sub> antibodies that are unable to cross-link allergens.<sup>31</sup> Furthermore, IgG<sub>4</sub> is unable to fix complement and has limited affinity for activating Fcγ receptors.<sup>32</sup> AIT is known to induce a transient increase in serum IgE levels in the early course of treatment, despite its protective clinical efficacy. The ratio of allergen-specific IgE to functional IgG<sub>4</sub> antibody might be useful in monitoring AIT because the IgE blocking activity of IgG<sub>4</sub> appears to correlate with clinical AIT outcome.<sup>33,34</sup>

### Regulation of ILCs

ILC2s play a role in allergic responses through secretion of IL-5 and IL-13, and ILC2s can be studied in human peripheral blood.<sup>3,4</sup> ILC2s might have a role in the development of adaptive type 2 responses to local, but not systemic, antigen exposure.<sup>35</sup> ILC2s can also be demonstrated in induced sputum in children.<sup>36</sup> AIT has been shown to regulate ILCs, and seasonal increases in peripheral ILC2 numbers are inhibited by subcutaneous grass

pollen immunotherapy.<sup>37</sup> Circulating ILC2 responses are increased in asthmatic patients but not in those with allergic rhinitis.<sup>38</sup> For further information, see [Box 1](#) and [Fig 2](#).

### STANDARDIZATION OF ALLERGEN EXTRACTS

AS is a prerequisite to providing reagents for the diagnosis of and allergen-specific intervention in atopic diseases. Established methods for AS measure potency, ensure consistency in composition, and demonstrate stability. Molecular technologies have accelerated the characterization of allergen preparations, providing optimal reagents for advanced AS.<sup>39</sup>

### AS and regulatory framework

European manufacturers use in-house reference preparations (IHRPs) and create their own allergen extract units accordingly.<sup>40</sup> The European Medicines Agency (EMA) recently adopted a guideline on production and quality of allergen products ([http://www.gmp-compliance.org/guidemgr/files/GUIDELINE\\_ON\\_ALLERGEN\\_PRODUCTS\\_PRODUCTION\\_AND\\_QUALITY\\_ISSUES.PDF](http://www.gmp-compliance.org/guidemgr/files/GUIDELINE_ON_ALLERGEN_PRODUCTS_PRODUCTION_AND_QUALITY_ISSUES.PDF)). Homologous allergens are now based on sequence identity among allergenic proteins rather than taxonomic relationships between allergen sources. This guideline complements existing documents for development and marketing authorization (MA) of products for AIT in Europe. The US Food and Drug Administration provides guidance for US manufacturers. Vaccines standardized for potency in the United States include

Hymenoptera venoms (5 species), cat hair and pelt, dust mites (*Dermatophagoides farinae* and *Dermatophagoides pteronyssinus*), and pollen from 8 grass species and short ragweed. For each standardized extract, reference materials from the Center of Biologics Evaluation and Research are used to determine potency, forming the basis of IHRP calibration.

### Biological AS (*in vivo*)

The Nordic method, which is commonly used in Europe, considers 10,000 biologically standardized units/mL to be equivalent to an allergen dose that elicits a wheal equal (in square millimeters) to that elicited by 10 mg/mL histamine dihydrochloride. *In vivo* testing consists of titrated skin prick tests with 5-fold allergen dilutions averaged in at least 20 moderately to highly sensitized allergic subjects. The intradermal dilution for the 50-mm sum of erythema that determines bioequivalent allergy units (ID<sub>50</sub>EAL) method is used in the United States.<sup>41</sup> The dilution of extract that on average produces a 50-mm induration (sum of lengths and width [D50]) is assigned an arbitrary potency of 10,000 bioequivalent allergen units (BAU)/mL. Extracts with a mean D50 of 14, which falls between the 13th and 15th 3-fold serial dilution of the reference extract, are arbitrarily assigned the value of 100,000 BAU/mL. An extract with a mean D50 falling between the 11th and 13th dilutions is labeled 10,000 BAU/mL.

### Biochemical and immunologic standardization (*in vitro*)

Various qualitative and quantitative biochemical methods provide information on extract composition.<sup>42</sup> Newer methods, such as mass spectrometry, can be expensive and technically challenging but can offer extremely powerful approaches for analysis of allergenic proteins, including detection of isoforms. Total potency is measured by IgE-binding inhibition or effector (ie, basophil) cell assays. Manufacturers usually combine different methods for AS and establish various in-process control measures for robust and reproducible allergen extract production.

### Certified Reference Materials for Allergenic Products and Validation of Methods for their Quantification project and follow-up

A World Health Organization/International Union of Immunological Societies–initiated and European Union (EU)–funded (FP5) project for the Development of Certified Reference Materials for Allergenic Products and Validation of Methods for their Quantification established comprehensive information on purified or recombinant forms of important major allergens (Bet v 1, Phl p 1, Phl p 5, Ole e 1, Der p 1, Der p 2, Der f 1, and Der f 2) and explored immunoassays for their quantification.<sup>43,44</sup> A follow-up project, which was supported by the Biological Standardization Program (BSP) of the European Directorate for the Quality of Medicines, performed a proficiency trial (BSP090) for ELISAs of Bet v 1 and Phl p 5a.<sup>45-47</sup> After approval by the European Pharmacopoeia Commission, these assays will become mandatory for allergen manufacturers in IHRP calibration. In 2012, both major allergens were introduced by the European Pharmacopoeia Commission as biological reference materials (<http://crs.edqm.eu/db/4DCGI/View=Y0001565> and [\[edqm.eu/db/4DCGI/View=Y0001566\]\(http://crs.edqm.eu/db/4DCGI/View=Y0001566\)\), and the future will likely bring important additions.](http://crs.</a></p></div><div data-bbox=)

### PHARMACOECONOMICS AND COST-EFFECTIVENESS OF IMMUNOTHERAPY

The costs of allergic diseases are substantial, and AIT is a treatment modality that might alter the natural course of disease. In the long run of health economics, immunotherapy has the potential to result in cost savings because of decreased loss of workdays and lower drug costs, although it is not to be expected that the costs will be fully offset by savings in antiallergic medications during the first years of therapy. Economic studies have been published on the cost-effectiveness of immunotherapy, primarily from Europe and the United States.

#### Costs of AIT and standard treatment

Retrospective analyses have shown that subcutaneous immunotherapy (SCIT) affects health care expenditure.<sup>48-50</sup> In comparing costs before and after SCIT treatment among 3048 Medicaid-enrolled children with allergic rhinitis, SCIT produced a 12% reduction.<sup>48</sup> An 18-month period of SCIT resulted in associated costs that were reduced by 33% compared with those incurred by pediatric control subjects.<sup>49</sup> A prospective observational *Parietaria* species SCIT study revealed a cost reduction of 48% in the third year of treatment and of 80% 3 years after AIT concluded.<sup>51</sup> A ragweed immunotherapy trial of 2 years in asthmatic patients showed 30% reduction in medical costs in the immunotherapy versus placebo groups, but these savings did not offset the increased costs of immunotherapy.<sup>52</sup> A 1-year SLIT observational study showed a reduction in the costs of symptomatic drugs of 22% for patients with rhinitis and 34% for patients with rhinitis and asthma. When the costs of SLIT were included, the costs in the SLIT group were 73% higher.<sup>53</sup> Another SLIT house dust mite study in asthmatic patients compared 2 years of treatment with SLIT plus standard treatment (ST) with ST only, followed by 3 years of ST only. The savings in the fifth year amounted to 23%.<sup>54</sup>

#### Cost-effectiveness and cost-utility analyses

Economic analyses of both benefits of treatment and financial cost are important in addressing the question of whether one outweighs the other. Cost-effectiveness analysis studies express costs in monetary units and effects in physical units (eg, symptom-free days and occurrence of asthma exacerbations). Cost-utility analysis (CUA) evaluates the effects of treatment in terms of health-related quality of life (ie, quality-adjusted life years [QALYs]). An incremental cost-effectiveness ratio (ICER), which is defined as costs divided by benefits, can be calculated to estimate the costs of a certain gain. A gain of 1 QALY at a threshold of £20,000 to £30,000 is considered acceptable ([https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/191504/NICE\\_guide\\_to\\_the\\_methods\\_of\\_technology\\_appraisal.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/191504/NICE_guide_to_the_methods_of_technology_appraisal.pdf)).

Several cost-effectiveness analysis studies have demonstrated that SCIT and SLIT are economically advantageous.<sup>55-58</sup> A German study based on data from the literature in a decision tree model reached break even within a duration of 6 to 8 years and net savings at 10 years.<sup>55</sup> A French study, also based on a decision tree model, used the number of improved patients and the

number of asthmatic patients avoided as determination of outcome. ICERs were lower for SCIT (€583 and €597 for dust-mite and pollen allergy) than those for SLIT (€3938 and €824).<sup>57</sup>

The cost-effectiveness of SCIT was confirmed by 2 CUAs and those of SLIT by 4 CUAs derived from randomized clinical trials with sublingual grass pollen tablets.<sup>52,54,59-61</sup> Another CUA based on a *post hoc* analysis of 2 SLIT studies indicated that an ICER of less than the threshold of £20,000 could be achieved in patients with medium or high outcomes in their symptom scores.<sup>62</sup> One CUA evaluated treatment with different grass pollen products (Oralair [Stallergenes, Antony, France], Grazax [ALK-Abelló, Hørsholm, Denmark], and Alutard [ALK-Abelló] depot). From the German health care perspective (cost-utility ratio vs symptomatic treatment; incremental costs, QALYs, and willingness-to-pay) the analysis resulted in dominance of Oralair.<sup>63</sup>

Recently, a cost-effectiveness model was constructed based on MD data from the Rhinoconjunctivitis Quality of Life Questionnaire through meta-analyses and indirect comparison meta-analyses. Up to year 6, ICERs (cost per QALY) ranged from £28,650 (year 6) to £57,883 (year 3) for SCIT compared with ST and from £27,269 to £83,560 for SLIT compared with ST. Thus, with increasing time, both SCIT and SLIT were found to be approaching cost-effectiveness thresholds of £20,000 to £30,000.<sup>64</sup>

In conclusion, the majority of pharmacoeconomics studies support the viewpoint that AIT gives value for the money, with cost-effectiveness within 6 years of treatment initiation. However, heterogeneity in methodology limits interpretation of the studies. Data are obtained from small studies, retrospective databases, prospective observational studies, randomized trials, and literature searches. It is difficult to extrapolate the results from one health care setting to another, and there is considerable variation in cost-effectiveness across countries.<sup>65</sup> In addition, trials do not reflect real-life context, with noncompliance as a strong bias for economic analyses. Finally, many pharmacoeconomics studies have been sponsored by or associated with manufacturers. Large prospective and independent cost-effectiveness studies using a study design that provides a more realistic model are required. Moreover, there is a lack of economic data in other areas of the world outside Europe or the United States.

## REGULATORY ISSUES

Although Noon<sup>66</sup> introduced AIT more than a century ago, a high degree of heterogeneity among countries on the regulatory aspects of this therapeutic option remains. In Europe the majority of products for AIT have been marketed for decades as named-patient products (NPPs), which are primarily responsible for meeting requirements of Good Manufacturing Practice.<sup>67</sup> Thus NPPs for AIT are commercially available and Good Manufacturing Practice compliant, even if they are “named patient,” a term that refers to their prescription for a specific allergic patient.<sup>42</sup>

For these NPPs, information on clinical efficacy is not necessarily based on the documentation required by regulatory agencies for providing an MA, whereas numbers of adverse reactions are mainly assessed based on voluntary reports by producers, allergists, and patients.

In the last decade, the Directive 2001/20/EC and the amended Directive 2003/63/EC published important regulatory guidance,

proposing central specifications for allergen products in both diagnostics and AIT.<sup>42,67</sup> Under these regulations, allergen products are classified as medicinal products. Given that they have the capacity to modify the immune system and because they are produced with an industrial process, they require an MA similar to all medicinal drugs. The EMA and national health authorities of the individual member states serve as regulatory agencies. Attaining an MA for allergen products is feasible through national or centralized procedures, as well as through mutual recognition.<sup>42,67-69</sup> In a national authorization the allergen product is only approved for marketing in the respective European country in which the application has been submitted. However, the approval can be expanded to other European member states in a “mutual recognition” procedure if the identical dossiers are submitted to these countries.<sup>68</sup>

Another possibility for EU-wide registration of medicinal products is the centralized procedure, in which the application dossier is initially submitted to the EMA as coordinating regulatory authority.<sup>42,68</sup> The EMA determines 2 representative European countries as rapporteur and corapporteur in reviewing and evaluating these dossiers. The central authorization allows MAs in all EU member states. The central procedure must be followed for an MA for recombinant allergen vaccines and other products based on biotechnological processes.<sup>68</sup> Other countries, such as the United States, currently follow a different set of procedures (Table I).<sup>33,58,62,64,69</sup>

The quality, safety, and clinical efficacy of allergen products under these authorization processes are required to be documented through a straightforward development plan, as outlined in the EMA guidance on the “Clinical development of products for specific immunotherapy for the treatment of allergic diseases” (CHMP/EWP/18504/2006; 2008). Applicants receive scientific advice from the EMA or from the national competent authorities on the preclinical and clinical phases of the development of the respective allergen products.<sup>42</sup> In addition to the development plan, the applicant must submit a pediatric investigational plan before an application for an MA can be submitted to the EMA (available at: [http://www.ema.europa.eu/docs/en\\_GB/document\\_library/Regulatory\\_and\\_procedural\\_guideline/2009/11/WC500015814.pdf](http://www.ema.europa.eu/docs/en_GB/document_library/Regulatory_and_procedural_guideline/2009/11/WC500015814.pdf). 2009).

## BARRIERS AND FACILITATORS FOR AIT

In spite of the facts that AIT represents a well-established, evidence-based therapy and that there has been great progress in both vaccine development and means of application in recent years, a number of key barriers and facilitators should be noted, as shown in Table II.<sup>70,71</sup>

## FUTURE OF AIT

Recent advances in immunology and bioengineering enable ongoing modifications of AIT.<sup>2,72</sup> Still, the quality level of current evidence for these advances can be variable and includes conceptual studies in experimental models, proof-of-concept clinical studies with a limited number of subjects, and large-scale multicenter clinical studies (Box 2).

The most promising approaches to improve the efficacy and safety of vaccine-based AIT include bypassing IgE binding and targeting allergen-specific T and B cells with hypoallergenic recombinant allergen derivatives and immunogenic peptides, new adjuvants and stimulators of the innate immune response, fusion

**TABLE I.** Obtaining an MA in EU countries

National authorization	The allergen product is only approved for marketing in the respective European country in which the application has been submitted.	The approval can be expanded to other European member states in a “mutual recognition” procedure if the identical dossiers are submitted to these countries. <sup>62</sup>
EU-wide registration	The application is submitted to the EMA, which nominates 2 EU countries as rapporteur and corapporteur for review and evaluation. <sup>33,62</sup> The application contains a development plan documenting the quality, safety, and clinical efficacy of allergen products, as outlined in the EMA guidance (CHMP/EWP/18504/2006; 2008) <sup>64</sup> and a pediatric investigational plan. <sup>58</sup>	The central authorization allows an MA in all EU member states. The central procedure must be followed for recombinant allergen vaccines and other products based on biotechnological processes. <sup>62</sup>

**TABLE II.** Barriers and facilitators for better use of AIT

<b>Barriers</b>	
The application of AIT is limited in many areas because of the low awareness of AIT’s potential.	There is worldwide acceptance and increased awareness that AIT reduces long-term costs and burden of allergies and potentially changes the natural course of the disease.
Regulations on AIT	Regulations on AIT have profound effects on allergy practice, allergen manufacturers, and research programs. Especially in the EU, allergy vaccines should undergo registration as all other drugs. There is a need for a standardized approach between regulatory agencies from different regions of the world.
Adherence to AIT	The demand of prolonged treatment over several years might impair patients’ adherence.
<b>Facilitators</b>	
Evidence-based documentation	Standardization, validation, and consensus on clinical outcome measures for clinical trials Identification and validation of biomarkers for AIT monitoring Environmental exposure chambers as suitable surrogates for natural allergen exposure <sup>70,71</sup> Validated tools for assessing the effectiveness of AIT in real life: postmarketing studies
Guidelines and recommendations	Standardization of guidelines and recommendations at global and national societal levels
Better selection of patients	Diagnostic tools for better identification of the clinically relevant patient’s sensitization profile for a proper vaccine selection Proper use of component-resolved diagnosis to identify potential responders and nonresponders
More convenient AIT regimens	Validation of different regimens (preseasonal and perennial), mode of uposing, duration of therapy, maximal dose, and cumulative dose in terms of efficacy and safety
Novel approaches	Confirmation of existing evidence of efficacy and safety of novel approaches in independent phase 3, double-blind, placebo-controlled trials
Pharmacoeconomics	More evidence on the overall cost-saving effects of AIT application Limit the high costs of current treatment and clinical development
Joint commitment	Coordinated actions among regulators, industry, and the scientific environment to find solutions that properly answer the health expectations of allergic patients

of allergens with immune modifiers and peptide carrier proteins, and new routes of vaccine administration.<sup>24,73-75</sup> Similar approaches are being undertaken in AIT for food allergy, and some progress has been made through the development of AIT encompassing 3 major forms of treatment: oral immunotherapy, SLIT, and epicutaneous immunotherapy.<sup>76</sup>

The cloning of allergen proteins and genetic engineering have enabled the production of vaccines that have well-defined molecular, immunologic, and biological characteristics, as well as modified molecular structure (allergen fragments, fusions, hybrids, and chimeras).<sup>73,74</sup> These approaches open the possibility of enhancing the tolerogenic T cell–dependent signal with administration of higher doses of preparation and a low risk of anaphylaxis. Clinical trials with recombinant allergen preparations primarily for grass pollen, birch pollen, and house dusts mites showed good clinical efficacy compared with placebo. However, because they do not show significantly better effects

than natural extracts, the pharmaceutical industry has stopped development because of the problematic justification of the high costs of vaccine development and licensing.<sup>77,78</sup> Large multicenter clinical studies with peptide vaccines for cat and birch allergy are currently underway.

The application of more powerful adjuvants might be easier and economically justified. Detoxified LPS (monophosphoryl lipid A), CpG oligonucleotides, imidazoquinolines, and adenine derivatives, all of which activate innate immune response, are the most suitable candidates for allergy vaccination, with more effective induction of specific T<sub>H</sub>1 differentiation.<sup>79</sup> Studies are being performed with 1,25-dihydroxyvitamin D<sub>3</sub> as an additive to increase Treg cell responses by affecting DCs for their tolerogenic properties.<sup>80</sup> Novel research provides an enormous number of immune stimulators and methods for coupling with allergens; however, both proof-of-concept and controlled large clinical studies have yet to be performed.<sup>73,74,79,80</sup> Another approach

**Box 2.** Improving the efficacy and safety of vaccine-based AIT by targeting allergen-specific T and B cells and bypassing IgE binding

Hypoallergenic recombinant allergen derivatives and immunogenic peptides.  
 New adjuvants and stimulators of the innate immune response.  
 Fusion of allergens with immune modifiers and peptide carrier proteins.  
 New routes of vaccine administration. Combination of AIT with immune response modifiers, including anti-IgE (omalizumab).

**Box 3.** Consensus statement on AIT mechanisms and recommendations for standardization and pharmacoeconomics

1. AIT is an immune-mediated biological treatment, which acts through the complex interplay between Treg and Breg cells, blocking IgG<sub>4</sub> antibodies and tissue effector-mediated mechanisms.
2. Providing reagents for AIT requires application of modern biotechnological approaches for AS and vaccine preparation.
3. The majority of pharmacoeconomics studies demonstrate the cost-effectiveness of AIT within 6 years of treatment initiation.
4. Regulatory agencies classified AIT vaccines as medicinal products, which require an MA similar to medicinal products.
5. Better understanding of barriers and facilitators for AIT is essential for further developments in the field.
6. Recent progress in biotechnology and the understanding of the mechanism of AIT open the window for new opportunities for safer and more effective AIT.

includes allergen covalently coupled to carbohydrate-based particles for targeting DCs with enhanced adjuvanticity or the use of a carrier protein, such as the PreS domain of the hepatitis B virus fused to 2 nonallergenic peptides.<sup>81</sup> A good safety profile, a significant decrease in the risk of anaphylaxis, and improved rescue medication scores were also reported for the combination of AIT with immune response modifiers, including anti-IgE (omalizumab).<sup>82,83</sup>

In the treatment of allergic rhinitis and asthma, both SCIT and SLIT show efficacy in reducing symptom scores and medication use, improving quality of life, and inducing sustained disease-modifying effects based on changes in specific immunologic markers.<sup>2</sup> Work is ongoing for new routes of administration, such as the intralymphatic and epicutaneous routes.<sup>84</sup> In addition, extension of SLIT to other allergens in randomized phase 3 trials to develop new products is being pursued, as are schedules and efforts to shorten the duration of AIT.<sup>85,86</sup> Direct head-to-head studies comparing novel routes with SCIT are strongly needed.<sup>84,87</sup>

## CONCLUSIONS

This portion of the international consensus document provides a comprehensive overview of AIT mechanisms, recommendations for standardization, and pharmacoeconomics. In addition, we have critically appraised barriers to and facilitators of further

study and provided perspective on what waits on the AIT horizon (Box 3).

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